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Date: March 5, 2007

Name: Nancy Doble

Signature:

*Nancy Doble*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Large Application of John W. Evans, et al.

) Examiner: N. Ogden

Serial No.: 10/629,642

) Confirmation No.: 6851

Filing Date: July 29, 2003

) Art Unit: 1751

Title: Non-Aqueous Heat Transfer Fluid and Use Thereof

) Docket No.: 97541.00022

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with 37 C.F.R. § 1.56, the materials listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. This Information Disclosure Statement is being filed to disclose references cited in a Japanese Patent Office Action issued in a corresponding Japanese patent application. A copy of a translation of the Japanese Office Action is also provided. Where available, corresponding English language abstracts are provided. Certain references cited in the Japanese Office Action have not been cited in this Information Disclosure Statement because they have previously been cited in this application. Those references are Reny, WO89/09806; Japanese Patent Application No. 2002-510606 (which corresponds to U.S. Application Serial No. 09/877,306), and Hu, WO98/46695 (which corresponds to U.S. Patent No. 5,817,252, previously cited).

Pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i), copies of the U.S. Patent and U.S. Patent Application references set forth and cited on form PTO/SB/08 are not enclosed herewith.

It is respectfully requested the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks.



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In accordance with 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made; an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b); or an admission that the information cited in the statement is, or is considered to be, prior art.

A first Office Action has issued in this application; however, prosecution has not yet closed. Therefore, pursuant to § 1.97(c), authorization is hereby given to charge the \$180.00 fee associated with the submission of an Information Disclosure Statement to Deposit Account No. 50-3569. If any additional fee is required, please accept this as authorization to charge Deposit Account No. 50-3569.

Respectfully submitted,

Date: March 5, 2007

By:   
Eric E. Grondahl (Reg. No. 46,741)  
Attorney for Applicant

PTO Correspondence Address:

McCarter & English, LLP  
CityPlace I, 185 Asylum Street  
Hartford, CT 06103  
Telephone: (860) 275-6704  
Fax: (860) 724-3397



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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|---|---|----|---|--------------------------|-----------------|
| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                 |
|   |   |    |   | Application Number       | 09/935,897      |
|   |   |    |   | Filing Date              | August 23, 2001 |
|   |   |    |   | First Named Inventor     | John W. Evans   |
|   |   |    |   | Art Unit                 | 1751            |
|   |   |    |   | Examiner Name            | Del Cotto, g.   |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 97541.00012     |

| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | 1                     | US- 5,273,673                            | 12/28/1993                     | Samir S. Ashrawi,<br>et al.                        |   |
|                       |                       | US-                                      |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |   |  |    |
|--------------------------|-----------------------|---|--------------------------------|---|--|----|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T6 |
|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |   |  |    |
|                          | 1                     | Japanese Patent Kokai<br>Publication No. 55-125181                              | 09/26/1980                     | Wako Pure Chem<br>Ind. Ltd., et al.                   |  |    |
|                          | 2                     | Japanese Patent Kokai<br>Publication No. 54-155985                              | 12/08/1979                     | Daihatsu Motor<br>Co., Ltd.                           |  |    |
|                          | 3                     | Japanese Patent Kokai<br>Publication No. 06-033274                              | 02/08/1994                     | First Brands<br>Corporation                           |  |    |
|                          | 4                     | Japanese Patent Kokai<br>Publication No. 08-183950                              | 07/16/1996                     | Tokyo Fine Chem<br>KK                                 |  |    |
|                          | 5                     | Japanese Patent Kokai<br>Publication No. 06-158034                              | 07/06/1994                     | Texaco Chemical<br>Company                            |  |    |
|                          | 6                     | Japanese Patent Kokai<br>Publication No. 08-085782                              | 04/02/1996                     | Nippon Chem<br>Kogyo KK                               |  |    |
|                          | 7                     | Japanese Patent Kokai<br>Publication No. 03-031388                              | 02/12/1991                     | Nippon Oil Co. Ltd.                                   |  |    |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | Translation of Official Action in Japanese Patent Application No. 2002-510616   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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